



In the United States Patent and Trademark Office

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10/07/03

Serial Number: 10/020,480  
Appn. Filed: 2001 Dec 18  
Applicant(s): Warlick, Timothy David  
Appn. Title: Non Slip Dive Ballast and Mold System  
Examiner/Gau: M. Safavi

Mailed: 2003 Sept 11  
At: Bayside, Ca.

Amendment A

Commissioner for Patents  
Washington, District of Columbia 20231  
Sir:

In response to the Office Action mailed June 3, 2003, please amend the above application as follows:

It is not the intent of the applicant, in this response, to knock nor lean negatively toward anyone, their ideas, representations, intentions or presentations; but, to focus positively on the written ideas, written material and drawings; and to point out possible misunderstandings, misconceptions or misinterpretation. Further, it is the intent of the applicant to attempt to insure that those written ideas, written material and drawings are in fact, correctly commissioned.

Enclosed, please find:

1. Fee transmittal for FY 2003, and payment of \$55.00.
2. An Information Disclosure Statement and copies of each U.S. patent pertaining to applicant's invention.
3. An Information Disclosure Statement and copies of each U.S. patent of possible pertinence to the Office Action.
4. Corrections for Claims Objections under M.P.E.P. 608.01(m).
5. Response to Claim Rejections 35 U.S.C. 112.
6. Response to Claim Rejections 35 U.S.C. 102.
7. Response to Claim Rejections 35 U.S.C. 103.
8. Summary.
9. Certificate of mailing.

09/16/2003 EWD:DF1 00000112 10020480

01 FC:2251

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02 FC:2252  
03 FC:2203

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3673/88

# Fee Transmittal for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)55.00

## Complete if Known

Application Number	10/020,480
Filing Date	2001 DEC 18
First Named Inventor	WARLICK, TIMOTHY DAVID
Examiner Name	M. SAFAVI
Art Unit	3673
Attorney Docket No.	

## METHOD OF PAYMENT (check all that apply)

 Check    Credit card    Money Order    Other    None
 Deposit Account:

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The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below    Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity   Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	55.00
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)55.00

\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		(Complete if applicable)		
Name (Print/Type)	<u>Tim Warlick</u>	Registration No. (Attorney/Agent)		Telephone <u>7078228823</u>
Signature	<u>Tim Warlick</u>		Date	<u>SEPT. 11, 2003</u>

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file and/or have the USPTO process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 14 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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I hereby certify that this correspondence, which includes the fee transmittal, disclosure statement, and amendment A, responses to office action mailed June 3, 2003, is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Mail Stop DD  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

On 2003 Sept. 11.

Tim Warlick

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